#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application of:	)
Charles Gollnick	)
Serial No. 10/657,785	)
Filed: September 8, 2003	)
For: NETWORK SUPPORTING ROAMING, SLEEPING TERMINALS	))))
Examiner: P. Sobutka	)
Group Art Unit: 2684	)
Confirmation No.: 1344	)

# LITIGATION STATEMENT PURSUANT TO MPEP § 2001.06(C) and INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### Dear Sir:

The owner of record of the present application, Broadcom Corporation, is currently involved in several disputes with Qualcomm, Inc., including the following pending lawsuit and investigation:

- In the Matter of Certain Baseband Processor Chips and Chipsets, Transmitter and Receiver (Radio) Chips, Power Control Chips, and Products Containing Same, Including Cellular Telephone Handsets, U.S. International Trade Commission Case. No. 377-TA-543.
- Broadcom Corp. v. Qualcomm, Inc., Civil Action No. 05-468 in the Central District of California

Pursuant to MPEP 2001.06(c), the Applicants would like to bring to the attention of the U.S. Patent and Trademark Office that the subject matter currently being claimed in the present application may be related to at least one of the patents currently asserted by Broadcom in the

above-mentioned lawsuit and investigation. For the Office's benefit, Applicants enclose as Exhibit A a list of the patents currently asserted by Broadcom in the above-mentioned pending lawsuit and investigation.

The Applicants have also attached with this electronic submission a completed PTO form PTO/SB/08A which has twenty-nine (29) pages. Applicants have not submitted U.S. Patents or other references previously provided to or by the PTO in this application. Further, legible copies of each printed reference listed in the attached form PTO/SB/08A were submitted in a parent application, U.S. Application No. 09/318,668, and are not attached to this electronic submission.

The references being submitted have been either cited, produced or relied upon by Qualcomm thus far during the above-mentioned lawsuit and/or investigation. This submission is in no way intended as an admission that the submitted references constitute prior art under any subsection of 35 U.S.C. §102 or §103. Applicant expressly retains the right to argue that any of the cited references are not indeed prior art or to take any actions necessary to remove any of the cited references from the available prior art.

The Examiner is requested to initial both copies of the enclosed PTO/SB/08A and return one copy to the applicants to indicate consideration of the enclosed references.

No fee is believed to be due because:

\* The applicant(s) believe(s) that this statement and enclosures are being filed before the first Office action on the merits has been mailed by the PTO. The basis of this belief is that no Office action on the merits appears to have been received by the undersigned to date.

The Commissioner is hereby authorized to charge any fees which are presently required, or credit any overpayment, to Deposit Account No. 13-0017.

Respectfully submitted,

Date: June 3, 2006

John A. Wiberg Reg. No. 44,401

McAndrews, Held & Malloy, Ltd. 500 West Madison Street, Suite 3400

Chicago, Illinois 60661

(312) 775-8000

## EXHIBIT A

### Patents Currently Asserted by Broadcom in Civil Action No. 05-468 (C.D. Cal) and U.S. International Trade Commission Case. No. 377-TA-543

5,682,379

6,359,872

6,374,311

6,583,675

6,714,983

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Substitute for	Substitute for form 1449A/PTO			Application Number	10/657,785		
	INFORMAT	ION DISCLO	Filing Date	September 8, 2003			
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	PIAIEMEL	NI BI APPLI	Group Art Unit	2684			
			Examiner Name	P. Sobutka			
	(use as mai	ny sheets as necessa	Attorney Docket Number	14206US03			
Sheet	1	of	29	Attorney Docket Number	142000303		

U.S. PATENT DOCUMENTS						
Examiner Initial*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	A1	4,449,248	05-15-1984	Leslie et al.		
	A2	5,027,428	06-25-1991	Ishiguro et al.		
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****	A4	5,058,203	10-15-1991	Inagami, Fujio		
	A5	5,142,534	08-25-1992	Simpson et al.		
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	A19	5,910,752	05-08-1999	Filipovic et al.		
	A20	6,348,841	02-19-2002	See		
	A21	6,522,871	02-18-2003	Patrick et al.		
	A22	6,583,675	06-24-2003	Gomez		
	A23	6,593,826	07-15-2003	See		
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	A28	6.823.033	11-23-2004	Fahim		
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	A30	6,911,856	06-28-2005	Florescu		
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	A33	4,734,694	03-29-1998	Umetsu et al.		

EXAMINER	DATE CONSIDERED
SIGNATURE	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). \*See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. \*I Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). \*For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Skind of document by the appropriate symbols as indicated on the document under WIPO Standard St. 16 if possible. 
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process)

an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450 Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO I HIS ADDRESS. Send TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for	Substitute for form 1449A/PTO			Application Number	10/657,785
	INFORMAT	ION DISCLO	Filing Date	September 8, 2003	
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	SIAIEME	NI BI APPLI	Group Art Unit	2684	
			Examiner Name	P. Sobutka	
(use as many sheets as necessary))				Attorney Docket Number	14206US03
Sheet	2	Of	29	Altorney Docket Number	142000303

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Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages	тв		
					or Relevant Figures Appear			
	B1							

		OTHER ART NON PATENT LITERATURE DOCUMENTS
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published
	C1	Respondent Qualcomm Incorporated's Notice Of Prior Art, with Exhibits A & D attached thereto
	C2	Qualcomm's Response To Interrogatory 3, with Exhibits B & C attached thereto
	C3	INTENTIONALLY LEFT BLANK
	C4	INTENTIONALLY LEFT BLANK
	C5	"A New Chapter In Wireless Communication And Email", Electronic Messaging News, 11/27/1991, Vol. 3, No. 24
	C6	"A New Radio Access Protocol And Network Architecture For Mobile Packet Data", Cognito Group Limited, Bates Nos. QBB569268-323
	C7	"AMDC Extends RFP Deadline To March 10; Waiver Decision Expected At FCC's March Meeting", Industrial Communications, 02/17/1989, No. 7
	C8	"AMDC Picks Ericsson Gear", Communications Week, 06/12/1989, Vol. 252, p. 44
	C9	"An Effective Prioritization Scheme For Handovers In Cellular Networks", IEEE – ICUPC '92 Proceedings, 09/29/1992-10/02/1992, pp. 364-368
	C10	"Ardis Links With NCR Notepad 12/11/91", Newsbytes, 12/11/1991

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STATEMENT BY APPLICANT				First Named Inventor	Charles Gollnick
	STATEMENT	BY APPLI	Group Art Unit	2684	
			Examiner Name	P. Sobutka	
(use as many sheets as necessary))				Attorney Docket Number	14206US03
Sheet	3	Of	29	Attorney Docket Number	142000303

**		OTHER ART NON PATENT LITERATURE DOCUMENTS
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	C11	"Ardis To Face Competition From RAM", Newsbytes, 02/05/1991
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	C31	"Cellular System Mobile Station – Land Station Compatibility Specification", Office Of Science Technology Bulletin No. 53, 07/1983
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		OTHER ART NON PATENT LITERATURE DOCUMENTS
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	C38	"Covering Note To Draft Rec. GSM 01.04 Version 2.00.01 - Vocabulary In A GSM PLMN", GSM 01.04 v. 2.00.01, 09/28/1990
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	OTHER ART NON PATENT LITERATURE DOCUMENTS
Cite	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the
	Item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published
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Sheet	6	Of	29	Attorney Docket Number	142000000

	-	OTHER ART NON PATENT LITERATURE DOCUMENTS
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	C73	"Ericsson Has Data Unit" Communications Week, 01/08/1990, p. 8
	C74	"Ericsson Mobile Data Formed In New Jersey", Communications Daily, 01/05/1990
	C75	"Ericsson Signs \$55 Million Agreement With RAM Broadcasting For Mobile Data Network", Industrial Communications, 06/02/1989, No. 20
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	C89	"Lead Story #2: Swedish Government Picks Magnovox AVL For Upcoming Trials, Inside IVHS, 02/04/1991, Vol. 1, No. 3
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	C93	"Mobile Data Communications – A New Market", News Release, 07/03/1987, p.1
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	C96	"Mobile Move", CommunicationsWeek International, 11/04/1991, No. 73, p. 3
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		"Physical Layer On The Radio Path: General Description", Change Request 05.02-2, Recommendation GSM 05.01, v. 3.3.0, 11/17/1989
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	C201	CARPINTERO et al., "Requirements For The Interconnection Of Base Stations In A Wireless System", IEEE – ICUPC '92 Proceedings, 09/29/1992-10/02/1992, pp. 141-146
********	C202	Cellular Data Network Functional Specification Rev. 2.0.2, 10/05/1992
		Cellular Digital Packet Data System Specification Overview
	C204	Cellular Digital Packet Data System Specification Preliminary Release 0.8 – Book 1, 03/19/1993
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Substitute for form 1449A/PTO				Complete	if Known
				Application Number	10/657,785
	INFORMATI	ON DISCLOS	SURE	Filing Date	September 8, 2003
			First Named Inventor	Charles Gollnick	
	SIAIEMEN	T BY APPLIC	Group Art Unit	2684	
				Examiner Name	P. Sobutka
(use as many sheets as necessary))				Attorney Docket Number	14206US03
Sheet	29	Of	29	Attorney Docket Number	142000303

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	C495	
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